

REGULARITY OF SYMBOLIC POWERS OF SQUARE-FREE MONOMIAL IDEALS

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ABSTRACT. We study the regularity of symbolic powers of square-free monomial ideals. We prove that if $I = I_\Delta$ is the Stanley-Reisner ideal of a simplicial complex Δ , then $\text{reg}(I^{(n)}) \leq \delta(n-1) + b$ for all $n \geq 1$, where $\delta = \lim_{n \rightarrow \infty} \text{reg}(I^{(n)})/n$, and $b = \max\{\text{reg}(I_\Gamma) \mid \Gamma \text{ is a subcomplex of } \Delta \text{ with } \mathcal{F}(\Gamma) \subseteq \mathcal{F}(\Delta)\}$. This bound is sharp for any n .

INTRODUCTION

Throughout the paper, let K be a field and $R = K[x_1, \dots, x_r]$ the polynomial ring of r variables x_1, \dots, x_r with $r \geq 1$. Let I be a homogeneous ideal of R . Then the n -th symbolic power of I is defined by

$$I^{(n)} = \bigcap_{\mathfrak{p} \in \text{Min}(I)} I^n R_{\mathfrak{p}} \cap R,$$

where $\text{Min}(I)$ is as usual the set of minimal associated prime ideals of I .

Cutkosky, Herzog, Trung [4], and independently Kodiyalam [15], proved that the function $\text{reg}(I^{(n)})$ is a linear function in n for $n \gg 0$. The similar result for symbolic powers is not true even when I is a square-free monomial ideal (see e.g. [6, Theorem 5.15]) except for the case $\dim(R/I) \leq 2$ (see [13]).

If I is a square-free monomial ideal, Hoa and the second author (see [12, Theorem 4.9]) proved that the limit

$$(1) \quad \delta(I) = \lim_{n \rightarrow \infty} \frac{\text{reg}(I^{(n)})}{n},$$

does exist. Moreover, $\text{reg}(I^{(n)}) < \delta(I)n + \dim(R/I) + 1$ for all $n \geq 1$. Obviously, this bound is not sharp for every integer n . In fact, the limit (1) exists for arbitrary monomial ideals (see [6]). Recently, many results established the sharp bounds for $\text{reg}(I^{(n)})$ in the case I is the edge ideal of a simple graph (see e.g. [1, 8, 9, 14]).

In this paper we will focus on finding bounds of the regularity of symbolic powers of square-free monomial ideals in terms of combinatorial data from associated simplicial complexes and hypergraphs.

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For a square-free monomial ideal I , we denote $\delta(I)$ to be the limit defined by Formula (1). In order to compute this invariant, we can use the geometric interpretation of $\delta(I)$ by means of symbolic polyhedra defined in [3, 6] (see Formula (4)).

For a simplicial complex Δ on the set $V = \{1, \dots, r\}$, the Stanley-Reisner ideal of Δ is defined by

$$I_\Delta = \left(\prod_{i \in \tau} x_i \mid \tau \subseteq V \text{ and } \tau \notin \Delta \right) \subseteq R.$$

Let $\mathcal{F}(\Delta)$ denote the set of facets of Δ .

The first main result of the paper is the following theorem.

Theorem 2.3. *Let Δ be a simplicial complex. Then,*

$$\text{reg}(I_\Delta^{(n)}) \leq \delta(I_\Delta)(n-1) + b, \quad \text{for all } n \geq 1,$$

where $b = \max\{\text{reg}(I_\Gamma) \mid \Gamma \text{ is a subcomplex of } \Delta \text{ with } \mathcal{F}(\Gamma) \subseteq \mathcal{F}(\Delta)\}$.

This bound is sharp for every n (see Example 2.5).

Let $\mathcal{H} = (V, E)$ be a simple hypergraph with $V = \{1, \dots, r\}$. The edge ideal of \mathcal{H} is defined by

$$I(\mathcal{H}) = \left(\prod_{i \in e} x_i \mid e \in E \right) \subseteq R.$$

Let \mathcal{H}^* be the simple hypergraph corresponding to the Alexander duality $I(\mathcal{H})^*$ of $I(\mathcal{H})$. Let $\epsilon(\mathcal{H}^*)$ be the minimum number of cardinality of edgewise dominant sets of \mathcal{H}^* , this concept was introduced by Dao and Schweig [5].

Then second main result of the paper is the following theorem.

Theorem 2.7. *Let \mathcal{H} be a simple hypergraph. Then,*

$$\text{reg}(I(\mathcal{H})^{(n)}) \leq \delta(I(\mathcal{H}))(n-1) + |V(\mathcal{H})| - \epsilon(\mathcal{H}^*), \quad \text{for all } n \geq 1.$$

A hypergraph is a graph if every edge has exactly two vertices. For a graph G , a linear lower bound for $\text{reg}(I(G)^{(n)})$ is given in [9]:

$$\text{reg}(I(G)^{(n)}) \geq 2n + \nu(G) - 1,$$

where $\nu(G)$ is the induced matching of G . Note that this lower bound is also valid for ordinary powers (see [2, Theorem 4.5]).

On the upper bounds, Fakhari (see [8, Conjecture 1.3]) conjectured that

$$\text{reg}(I(G)^{(n)}) \leq 2n + \text{reg}(I(G)) - 2.$$

As a consequence of Theorem 2.3, we obtain a linear upper bound for $\text{reg}(I(G)^{(n)})$, however it is weaker than the bound in this conjecture.

Corollary 2.8. *Let G be a graph. Then, for any $n \geq 1$, we have*

$$\operatorname{reg}(I(G)^{(n)}) \leq 2n + \dim(R/I(G)) - 1.$$

Let us explain the idea to prove Theorems 2.3 and 2.7 as follows. Let $i \geq 0$ such that $\operatorname{reg}(R/I^{(n)}) = a_i(R/I^{(n)}) + i$.

The first key point is to prove that $a_i(R/I^{(n)}) \leq \delta(I)(n-1)$. Assume that $\alpha = (\alpha_1, \dots, \alpha_r) \in \mathbb{Z}^r$ such that

$$H_{\mathbf{m}}^i(R/I^{(n)})_{\alpha} \neq 0, \text{ and } a_i(R/I^{(n)}) = |\alpha|,$$

where $\mathbf{m} = (x_1, \dots, x_r)$ and $|\alpha| = \alpha_1 + \dots + \alpha_r$. We reduce to the case $\alpha \in \mathbb{N}^r$. In order to bound $|\alpha|$, we use Takayama's formula (see Lemma 1.3) to compute $H_{\mathbf{m}}^i(R/I^{(n)})_{\alpha}$, which allows us to search for α in a polytope in \mathbb{R}^r , so that we can get the desired bound of $|\alpha|$ via theory of convex polytopes (see Theorem 2.2).

The second key point is to bound the index i by using the regularity of a Stanley-Reisner ideal in terms of the vanishing of reduced homology of simplicial complexes which derived from Hochster's formula about the Hilbert series of the local cohomology module of Stanley-Reisner ideals (see Lemma 1.1).

Our paper is structured as follows. In the next section, we collect notations and terminology used in the paper, and recall a few auxiliary results. In Section 2, we prove Theorems 2.3 and 2.7.

1. PRELIMINARIES

We shall follow standard notations and terminology from usual texts in the research area (cf. [7, 11, 16]). For simplicity, we denote the set $\{1, \dots, r\}$ by $[r]$.

1.1. Regularity and projective dimension. Through out this paper, let K be a field, and let $R = K[x_1, \dots, x_r]$ be a standard graded polynomial ring of r variables over K . The object of our work is the Castelnuovo-Mumford regularity of graded modules and ideals over R . This invariant can be defined via either the minimal free resolutions or the local cohomology modules.

Let M be a nonzero finitely generated graded R -module and let

$$0 \rightarrow \bigoplus_{j \in \mathbb{Z}} R(-j)^{\beta_{p,j}(M)} \rightarrow \dots \rightarrow \bigoplus_{j \in \mathbb{Z}} R(-j)^{\beta_{0,j}(M)} \rightarrow 0$$

be the minimal free resolution of M . The *Castelnuovo-Mumford regularity* (or regularity for short) of M is defined by

$$\operatorname{reg}(M) = \max\{j - i \mid \beta_{i,j}(M) \neq 0\},$$

and the *projective dimension* of M is the length of this resolution

$$\text{pd}(M) = p.$$

Let us denote by $d(M)$ the maximal degree of a minimal homogeneous generator of M . The definition of the regularity implies

$$d(M) \leq \text{reg}(M).$$

For any nonzero proper homogeneous ideal I of R , by looking at the minimal free resolution, it is easy to see that $\text{reg}(I) = \text{reg}(R/I) + 1$, so we shall work with $\text{reg}(I)$ and $\text{reg}(R/I)$ interchangeably.

The regularity of M can also be computed via the local cohomology modules of M . For $i = 0, \dots, \dim(M)$, we define the a_i -invariant of M as follows

$$a_i(M) = \max\{t \mid H_{\mathfrak{m}}^i(M)_t \neq 0\}$$

where $H_{\mathfrak{m}}^i(M)$ is the i -th local cohomology module of M with the support $\mathfrak{m} = (x_1, \dots, x_r)$ (with the convention $\max \emptyset = -\infty$). Then,

$$\text{reg}(M) = \max\{a_i(M) + i \mid i = 0, \dots, \dim(M)\},$$

and

$$\text{pd}(M) = r - \min\{i \mid H_{\mathfrak{m}}^i(M) \neq 0\}.$$

As usual we shall make the convention that $\text{reg}(M) = -\infty$ if $M = 0$.

1.2. Simplicial complexes and Stanley-Reisner ideals. A simplicial complex Δ over the vertex set $V = \{1, \dots, r\}$ is a collection of subsets of V such that if $F \in \Delta$ and $G \subseteq F$ then $G \in \Delta$. Elements of Δ are called faces. Maximal faces (with respect to inclusion) are called facets. For $F \in \Delta$, the dimension of F is defined to be $\dim F = |F| - 1$. The empty set, \emptyset , is the unique face of dimension -1 , as long as Δ is not the void complex $\{\}$ consisting of no subsets of V . The dimension of Δ is $\dim \Delta = \max\{\dim F \mid F \in \Delta\}$. The link of F inside Δ is its subcomplex:

$$\text{lk}_{\Delta}(F) = \{H \in \Delta \mid H \cup F \in \Delta \text{ and } H \cap F = \emptyset\}.$$

If every facet of Δ has the same cardinality, then Δ is called a pure complex. If there is a vertex, say j , such that $\{j\} \cup F \in \Delta$ for every $F \in \Delta$, then Δ is called a cone over j . It is well-known that if Δ is a cone, then it is an acyclic complex.

For a subset $\tau = \{j_1, \dots, j_i\}$ of V , denote $\mathbf{x}^{\tau} = x_{j_1} \cdots x_{j_i}$. Let Δ be a simplicial complex on V . The Stanley-Reisner ideal of Δ is defined to be the squarefree monomial ideal

$$I_{\Delta} = (\mathbf{x}^{\tau} \mid \tau \subseteq V \text{ and } \tau \notin \Delta) \text{ in } R = K[x_1, \dots, x_r]$$

and the *Stanley-Reisner ring* of Δ to be the quotient ring $k[\Delta] = R/I_{\Delta}$. This provides a bridge between combinatorics and commutative algebra (see [16, 20]).

Note that if I is a square-free monomial ideal, then it is a Stanley–Reisner ideal of the simplicial complex $\Delta(I) = \{\tau \subseteq V \mid \mathbf{x}^\tau \notin I\}$. When I is a monomial ideal (maybe not square-free) we also use $\Delta(I)$ to denote the simplicial complex corresponding to the square-free monomial ideal \sqrt{I} .

The regularity of a square-free monomial ideal can be computed via the vanishing of reduced homology of simplicial complexes. From Hochster’s formula on the Hilbert series of the local cohomology module $H_m^i(I_\Delta)$ (see [16, Corollary 13.16]), one has

Lemma 1.1. *For a simplicial complex Δ , we have*

$$\text{reg}(I_\Delta) = \max\{d \mid \tilde{H}_{d-1}(\text{lk}_\Delta(\sigma); K) \neq 0, \text{ for some } \sigma \in \Delta\}.$$

The *Alexander dual* of Δ , denoted by Δ^* , is the simplicial complex over V with faces

$$\Delta^* = \{V \setminus \tau \mid \tau \notin \Delta\}.$$

Notice that $(\Delta^*)^* = \Delta$. If $I = I_\Delta$ then we shall denote the Stanley–Reisner ideal of the Alexander dual Δ^* by I^* . It is a well-known result of Terai [22] (or see [16, Theorem 5.59]) that the regularity of a squarefree monomial ideal can be related to the projective dimension of its Alexander dual.

Lemma 1.2. *Let $I \subseteq R$ be a square-free monomial ideal. Then,*

$$\text{reg}(I) = \text{pd}(R/I^*).$$

Let $\mathcal{F}(\Delta)$ denote the set of all facets of Δ . We say that Δ is generated by $\mathcal{F}(\Delta)$ and write $\Delta = \langle \mathcal{F}(\Delta) \rangle$. Note that I_Δ has the minimal primary decomposition (see [16, Theorem 1.7]):

$$I_\Delta = \bigcap_{F \in \mathcal{F}(\Delta)} (x_i \mid i \notin F),$$

and therefore the n -th symbolic power of I_Δ is

$$I_\Delta^{(n)} = \bigcap_{F \in \mathcal{F}(\Delta)} (x_i \mid i \notin F)^n.$$

We next describe a formula to compute the local cohomology modules of monomial ideals. Let I be a non-zero monomial ideal. Since R/I is an \mathbb{N}^r -graded algebra, $H_m^i(R/I)$ is an \mathbb{Z}^r -graded module over R/I for every i . For each degree $\alpha = (\alpha_1, \dots, \alpha_r) \in \mathbb{Z}^r$, in order to compute $\dim_K H_m^i(R/I)_\alpha$ we use a formula given by Takayama [21, Theorem 2.2] which is a generalization of Hochster’s formula for the case I is square-free [20, Theorem 4.1].

Set $G_\alpha = \{i \mid \alpha_i < 0\}$. For a subset $F \subseteq [r]$, we set $R_F = R[x_i^{-1} \mid i \in F \cup G_\alpha]$. Define the simplicial complex $\Delta_\alpha(I)$ by

$$(2) \quad \Delta_\alpha(I) = \{F \subseteq [r] \setminus G_\alpha \mid x^\alpha \notin IR_F\}.$$

Lemma 1.3. [21, Theorem 2.2] $\dim_K H_m^i(R/I)_\alpha = \dim_K \tilde{H}_{i-|G_\alpha|-1}(\Delta_\alpha(I); K)$.

The following result of Minh and Trung is very useful to compute $\Delta_\alpha(I_\Delta^{(n)})$, which allows us to investigate $\text{reg}(I_\Delta^{(n)})$ by using the theory of convex polyhedra.

Lemma 1.4. [17, Lemma 1.3] *Let Δ be a simplicial complex and $\alpha \in \mathbb{N}^r$. Then,*

$$\mathcal{F}(\Delta_\alpha(I_\Delta^{(n)})) = \left\{ F \in \mathcal{F}(\Delta) \mid \sum_{i \notin F} \alpha_i \leq n - 1 \right\}.$$

This lemma can be generalized a bit as follows.

Lemma 1.5. [13, Lemma 1.3] *Let Δ be a simplicial complex and $\alpha \in \mathbb{Z}^r$. Then,*

$$\mathcal{F}(\Delta_\alpha(I_\Delta^{(n)})) = \left\{ F \in \mathcal{F}(\text{lk}_\Delta(G_\alpha)) \mid \sum_{i \notin F \cup G_\alpha} \alpha_i \leq n - 1 \right\}.$$

1.3. Hypergraphs. Let V be a finite set. A simple hypergraph \mathcal{H} with vertex set V consists of a set of subsets of V , called the edges of \mathcal{H} , with the property that no edge contains another. We use the symbols $V(\mathcal{H})$ and $E(\mathcal{H})$ to denote the vertex set and the edge set of \mathcal{H} , respectively.

In this paper we assume that all hypergraphs are simple unless otherwise specified.

In the hypergraph \mathcal{H} , an edge is *trivial* if it contains only one element, a vertex is *isolated* if it is not appearing in any edge, a vertex is a *neighbor* of another one if they are in some edge.

A hypergraph \mathcal{H}' is a *subhypergraph* of \mathcal{H} if $V(\mathcal{H}') \subseteq V(\mathcal{H})$ and $E(\mathcal{H}') \subseteq E(\mathcal{H})$. For an edge e of \mathcal{H} , we define $\mathcal{H} \setminus e$ to be the hypergraph obtained by deleting e from the edge set of \mathcal{H} . For a subset $S \subseteq V(\mathcal{H})$, we define $\mathcal{H} \setminus S$ to be the hypergraph obtained from \mathcal{H} by deleting the vertices in S and all edges containing any of those vertices.

A set $S \subseteq E(\mathcal{H})$ is called an *edgewise dominant set* of \mathcal{H} if every non-isolated vertex of \mathcal{H} not contained in some edge of S or contained in a trivial edge has a neighbor contained in some edge of S . Define,

$$\epsilon(\mathcal{H}) = \min\{|S| \mid S \text{ is edgewise dominant}\}.$$

For a hypergraph \mathcal{H} with $V(\mathcal{H}) \subseteq [r]$, we associate to the hypergraph \mathcal{H} a square-free monomial ideal

$$I(\mathcal{H}) = (\mathbf{x}^e \mid e \in E(\mathcal{H})) \subseteq R,$$

which is called the *edge ideal* of \mathcal{H} .

Notice that if I is a square-free monomial ideal, then I is an edge ideal of a hypergraph with the edge set uniquely determined by the generators of I .

Let \mathcal{H}^* be the simple hypergraph corresponding to the Alexander duality $I(\mathcal{H})^*$ of $I(\mathcal{H})$. We will determine the edge set of \mathcal{H}^* , it turns out that $E(\mathcal{H}^*)$ is the set of all minimal vertex covers of \mathcal{H} . A *vertex cover* in a hypergraph is a set of vertices, such that every edge of the hypergraph contains at least one vertex of that set. It is an extension of the notion of vertex cover in a graph. A vertex cover S is called minimal if no proper subset of S is a vertex cover. From the minimal primary decomposition (see [16, Definition 1.35 and Proposition 1.37]):

$$I(\mathcal{H}^*) = \bigcap_{e \in E(\mathcal{H})} (x_i \mid i \in e),$$

it follows that $E(\mathcal{H}^*)$ is just the set of minimal vertex covers of \mathcal{H} . Thus,

$$I(\mathcal{H}^*) = (\mathbf{x}^\tau \mid \tau \text{ is a minimal vertex cover of } \mathcal{H}),$$

so that it is also called the *cover ideal* of \mathcal{H} and denoted by $J(\mathcal{H})$.

In the sequel, we need the following result of Dao and Schweig [5, Theorem 3.2].

Lemma 1.6. *Let \mathcal{H} be a hypergraph. Then, $\text{pd}(R/I(\mathcal{H})) \leq |V(\mathcal{H})| - \epsilon(\mathcal{H})$.*

1.4. Convex polyhedra. The theory of convex polyhedra plays a key role in our study.

For a vector $\boldsymbol{\alpha} = (\alpha_1, \dots, \alpha_r) \in \mathbb{R}^r$, we set $|\boldsymbol{\alpha}| := \alpha_1 + \dots + \alpha_r$ and for a nonempty bounded closed subset S of \mathbb{R}^r we set

$$\delta(S) := \max\{|\boldsymbol{\alpha}| \mid \boldsymbol{\alpha} \in S\}.$$

Let Δ be a simplicial complex over $[r]$. In general, $\text{reg}(I_\Delta^{(n)})$ is not a linear function in n for $n \gg 0$ (see e.g. [6, Theorem 5.15]), but a quasi-linear function as in the following result.

Lemma 1.7. [12, Theorem 4.9] *There exist positive integers N, n_0 and rational numbers $a, b_0, \dots, b_{N-1} < \dim(R/I_\Delta) + 1$ such that*

$$\text{reg}(I_\Delta^{(n)}) = an + b_k, \text{ for all } n \geq n_0 \text{ and } n \equiv k \pmod{N}, \text{ where } 0 \leq k \leq N - 1.$$

Moreover, $\text{reg}(I_\Delta^{(n)}) < an + \dim(R/I_\Delta) + 1$ for all $n \geq 1$.

By virtue of this result, we define

$$\delta(I_\Delta) = a = \lim_{n \rightarrow \infty} \frac{\text{reg}(I_\Delta^{(n)})}{n}.$$

In order to compute $\delta(I_\Delta)$, let $\mathcal{SP}(I_\Delta)$ be the convex polyhedron in \mathbb{R}^r defined by the following system of linear inequalities:

$$(3) \quad \begin{cases} \sum_{i \notin F} x_i \geq 1 & \text{for } F \in \mathcal{F}(\Delta), \\ x_1 \geq 0, \dots, x_r \geq 0, \end{cases}$$

which is called the *symbolic polyhedron* of I_Δ .

Then, $\mathcal{SP}(I_\Delta)$ is a convex polyhedron in \mathbb{R}^r . By [6, Theorem 3.6] we have

$$(4) \quad \delta(I_\Delta) = \max\{\mathbf{v} \mid \mathbf{v} \text{ is a vertex of } \mathcal{SP}(I_\Delta)\}.$$

Now assume that

$$H_{\mathbf{m}}^i(I_\Delta^{(n)})_{\boldsymbol{\alpha}} \neq 0$$

for some $0 \leq i \leq \dim(R/I_\Delta)$ and $\boldsymbol{\alpha} = (\alpha_1, \dots, \alpha_r) \in \mathbb{N}^r$.

By Lemma 1.3 we have

$$(5) \quad \dim_K \tilde{H}_{i-1}(\Delta_{\boldsymbol{\alpha}}(I_\Delta^{(n)}); K) = \dim_K H_{\mathbf{m}}^i(R/I_\Delta^{(n)})_{\boldsymbol{\alpha}} \neq 0.$$

In particular, $\Delta_{\boldsymbol{\alpha}}(I_\Delta^{(n)})$ is not acyclic.

Suppose that $\mathcal{F}(\Delta) = \{F_1, \dots, F_t\}$ for $t \geq 1$. By Lemma 1.4 we may assume that

$$\mathcal{F}(\Delta_{\boldsymbol{\alpha}}(I_\Delta^{(n)})) = \{F_1, \dots, F_s\}, \text{ where } 1 \leq s \leq t.$$

For each integer $m \geq 1$, let \mathcal{P}_m be the convex polyhedron of \mathbb{R}^r defined by:

$$(6) \quad \begin{cases} \sum_{i \notin F_j} x_i \leq m-1 & \text{for } j = 1, \dots, s, \\ \sum_{i \notin F_j} x_i \geq m & \text{for } j = s+1, \dots, t, \\ x_1 \geq 0, \dots, x_r \geq 0. \end{cases}$$

Then, $\boldsymbol{\alpha} \in \mathcal{P}_n$. Moreover, by Lemma 1.4 one has

$$(7) \quad \Delta_{\boldsymbol{\beta}}(I_\Delta^{(m)}) = \langle F_1, \dots, F_s \rangle = \Delta_{\boldsymbol{\alpha}}(I_\Delta^{(n)}) \text{ whenever } \boldsymbol{\beta} \in \mathcal{P}_m \cap \mathbb{N}^r.$$

Note also that for such a vector $\boldsymbol{\beta}$, by Formula (7) we have

$$\dim_K \tilde{H}_{i-1}(\Delta_{\boldsymbol{\beta}}(I_\Delta^{(m)}); K) = \dim_K \tilde{H}_{i-1}(\Delta_{\boldsymbol{\alpha}}(I_\Delta^{(n)}); K) \neq 0.$$

Together with Lemma 1.3, this fact yields

$$(8) \quad H_{\mathbf{m}}^i(R/I_\Delta^{(m)})_{\boldsymbol{\beta}} \neq 0.$$

In order to investigate the convex polyhedron \mathcal{P}_m we also consider the convex polyhedron \mathcal{C}_m in \mathbb{R}^r defined by:

$$(9) \quad \begin{cases} \sum_{i \notin F_j} x_i \leq m & \text{for } j = 1, \dots, s, \\ \sum_{i \notin F_j} x_i \geq m & \text{for } j = s+1, \dots, t, \\ x_1 \geq 0, \dots, x_r \geq 0. \end{cases}$$

Note that $\mathcal{C}_m = m\mathcal{C}_1$ for all $m \geq 1$, where $m\mathcal{C}_1 = \{m\mathbf{y} \mid \mathbf{y} \in \mathcal{C}_1\}$.

By the same way as in the proof of [10, Lemma 2.1] we obtain the following lemma.

Lemma 1.8. \mathcal{C}_1 is a polytope with $\dim \mathcal{C}_1 = r$.

The next lemma gives an upper bound for $\delta(\mathcal{C}_1)$.

Lemma 1.9. $\delta(\mathcal{C}_1) \leq \delta(I_\Delta)$.

Proof. Since \mathcal{C}_1 is a polytope with $\dim \mathcal{C}_1 = r$ by Lemma 1.8, $\delta(\mathcal{C}_1) = |\boldsymbol{\gamma}|$ for some vertex $\boldsymbol{\gamma}$ of \mathcal{C}_1 . By [19, Formula (23) in Page 104] we imply that $\boldsymbol{\gamma}$ is the unique solution of a system of linear equations of the form

$$(10) \quad \begin{cases} \sum_{i \notin F_j} x_i = 1 & \text{for } j \in S_1, \\ x_j = 0 & \text{for } j \in S_2, \end{cases}$$

where $S_1 \subseteq [t]$ and $S_2 \subseteq [r]$ such that $|S_1| + |S_2| = r$. By using Cramer's rule to get $\boldsymbol{\gamma}$, we conclude that $\boldsymbol{\gamma}$ is a rational vector. In particular, there is a positive integer, say p , such that $p\boldsymbol{\gamma} \in \mathbb{N}^r$. Note that $\mathcal{C}_p = p\mathcal{C}_1$, so $p\boldsymbol{\gamma} \in \mathcal{C}_p \cap \mathbb{N}^r$.

For every $j \geq 1$, let $\mathbf{y} = jp\boldsymbol{\gamma} + \boldsymbol{\alpha}$. Then, $\mathbf{y} \in \mathbb{N}^r$ and $|\mathbf{y}| = \delta(\mathcal{C}_1)jp + |\boldsymbol{\alpha}|$. On the other hand, by using the fact that $jp\boldsymbol{\gamma} \in \mathcal{C}_{jp}$, we can check that

$$\begin{cases} \sum_{i \notin F_j} y_i \leq jp + n - 1 & \text{for } j = 1, \dots, s, \\ \sum_{i \notin F_j} y_i \geq jp + n & \text{for } j = s + 1, \dots, t, \end{cases}$$

and so $\mathbf{y} \in \mathcal{P}_{jp+n} \cap \mathbb{N}^r$.

Together with Equation (8), we deduce that $H_{\mathfrak{m}}^i(R/I_\Delta^{(jp+n)})_{\mathbf{y}} \neq 0$, and therefore

$$\text{reg}(R/I_\Delta^{(jp+n)}) \geq |\mathbf{y}| + i = \delta(\mathcal{C}_1)jp + |\boldsymbol{\alpha}| + i.$$

Combining with Lemma 1.7, this inequality yields

$$\delta(\mathcal{C}_1)jp + |\boldsymbol{\alpha}| + i < \delta(I_\Delta)(jp + n) + \dim(R/I_\Delta).$$

Since this inequality valid for any positive integer j , it forces $\delta(\mathcal{C}_1) \leq \delta(I_\Delta)$. \square

2. REGULARITY OF SYMBOLIC POWERS OF IDEALS

In this section we will prove the upper bound for $\text{reg}(I_\Delta^{(n)})$. First we start with the following fact.

Lemma 2.1. *Let $\sigma \subseteq [r]$ with $\sigma \neq [r]$, $S = K[x_i \mid i \notin \sigma]$ and $J = IR_\sigma \cap S$. Then,*

$$\text{reg}(J^{(n)}) \leq \text{reg}(I^{(n)}) \quad \text{for all } n \geq 1.$$

In particular, $\delta(J) \leq \delta(I)$.

Proof. We may assume that $S = K[x_1, \dots, x_s]$ for some $1 \leq s \leq r$. Let i be an index and $\boldsymbol{\alpha}$ a vector in \mathbb{Z}^s such that

$$H_{\mathfrak{n}}^i(S/J^{(n)})_{\boldsymbol{\alpha}} \neq 0 \quad \text{and} \quad \text{reg}(S/J^{(n)}) = |\boldsymbol{\alpha}| + i,$$

where $\mathbf{n} = (x_1, \dots, x_s)$ is the homogeneous maximal ideal of S .

Let $\boldsymbol{\beta} = (\alpha_1, \dots, \alpha_s, -1, \dots, -1) \in \mathbb{Z}^r$ so that $G_{\boldsymbol{\beta}} = G_{\boldsymbol{\alpha}} \cup \{s+1, \dots, r\}$. By Formula (2) we deduce that

$$(11) \quad \Delta_{\boldsymbol{\alpha}}(J^{(n)}) = \Delta_{\boldsymbol{\beta}}(I^{(n)}).$$

By Lemma 1.3,

$$\dim_K H_{\mathbf{n}}^i(S/J^{(n)})_{\boldsymbol{\alpha}} = \dim_K \tilde{H}_{i-|G_{\boldsymbol{\alpha}}|-1}(\Delta_{\boldsymbol{\alpha}}(J^{(n)}); K),$$

and thus $\tilde{H}_{i-|G_{\boldsymbol{\alpha}}|-1}(\Delta_{\boldsymbol{\alpha}}(J^{(n)}); K) \neq 0$. Together with Equation (11), it yields

$$\tilde{H}_{i-|G_{\boldsymbol{\alpha}}|-1}(\Delta_{\boldsymbol{\beta}}(I^{(n)}); K) \neq 0.$$

By Lemma 1.3 again, it gives $H_{\mathbf{m}}^{i+(r-s)}(R/I^{(n)})_{\boldsymbol{\beta}} \neq 0$ since $|G_{\boldsymbol{\beta}}| = |G_{\boldsymbol{\alpha}}| + (r-s)$. Therefore,

$$\text{reg}(R/I^{(n)}) \geq |\boldsymbol{\beta}| + i + (r-s) = |\boldsymbol{\alpha}| + i = \text{reg}(S/J^{(n)}),$$

it follows that $\text{reg}(J^{(n)}) \leq \text{reg}(I^{(n)})$.

Finally, together this inequality with Lemma 1.7 we have

$$\delta(J) = \lim_{n \rightarrow \infty} \frac{\text{reg}(J^{(n)})}{n} \leq \lim_{n \rightarrow \infty} \frac{\text{reg}(I^{(n)})}{n} = \delta(I),$$

and the lemma follows. \square

Theorem 2.2. *Let I be a square-free monomial ideal. Then, for all $i \geq 0$ we have*

$$a_i(R/I^{(n)}) \leq \delta(I)(n-1).$$

Proof. If $n = 1$, the theorem follows from Hochster's formula on the Hilbert series of the local cohomology module $H_{\mathbf{m}}^i(R/I_{\Delta})$ (see [20, Theorem 4.1]).

We may assume that $n \geq 2$. If $a_i(R/I^{(n)}) = -\infty$, the theorem is obvious, so that we also assume that $a_i(R/I^{(n)}) \neq -\infty$.

Suppose $\boldsymbol{\alpha} \in \mathbb{Z}^r$ such that

$$H_{\mathbf{m}}^i(R/I^{(n)})_{\boldsymbol{\alpha}} \neq 0 \text{ and } a_i(R/I^{(n)}) = |\boldsymbol{\alpha}|.$$

By Lemma 1.3 we have

$$(12) \quad \dim_K \tilde{H}_{i-|G_{\boldsymbol{\alpha}}|-1}(\Delta_{\boldsymbol{\alpha}}(I^{(n)}); K) = \dim_K H_{\mathbf{m}}^i(R/I^{(n)})_{\boldsymbol{\alpha}} \neq 0.$$

In particular, $\Delta_{\boldsymbol{\alpha}}(I^{(n)})$ is not acyclic.

If $G_{\boldsymbol{\alpha}} = [r]$, then $a_i(R/I^{(n)}) = |\boldsymbol{\alpha}| \leq 0$, and hence the theorem holds in this case.

We therefore assume that $G_{\boldsymbol{\alpha}} = \{m+1, \dots, r\}$ for $1 \leq m \leq r$. Let $S = K[x_1, \dots, x_m]$ and $J = IR_{G_{\boldsymbol{\alpha}}} \cap S$.

Let $\boldsymbol{\alpha}' = (\alpha_1, \dots, \alpha_m) \in \mathbb{N}^m$. By using Formula (2), we have

$$(13) \quad \Delta_{\boldsymbol{\alpha}'}(J^{(n)}) = \Delta_{\boldsymbol{\alpha}}(I^{(n)}).$$

Together with (12), it gives $\widetilde{H}_{i-|G_\alpha|-1}(\Delta_{\alpha'}(J^{(n)}); K) \neq 0$. By Lemma 1.3 we get

$$H_{\mathbf{n}}^{i-|G_\alpha|}(S/J^{(n)})_{\alpha'} \neq 0,$$

where $\mathbf{n} = (x_1, \dots, x_m)$ is the homogeneous maximal ideal of S .

Let Δ be the simplicial complex over $[m]$ corresponding to the square-free monomial ideal J . Assume that $\mathcal{F}(\Delta) = \{F_1, \dots, F_t\}$.

By Lemma 1.4 we may assume that $\mathcal{F}(\Delta_{\alpha'}(J^{(n)})) = \{F_1, \dots, F_s\}$ for $1 \leq s \leq t$. Let

$$\boldsymbol{\beta} = (\beta_1, \dots, \beta_m) = \frac{1}{n-1} \boldsymbol{\alpha}' \in \mathbb{R}^m.$$

By Lemma 1.4 again, we deduce that

$$\begin{cases} \sum_{i \notin F_j} \beta_i = \frac{1}{n-1} \sum_{i \notin F_j} \alpha_i \leq 1 & \text{for } j = 1, \dots, s, \\ \sum_{i \notin F_j} \beta_i = \frac{1}{n-1} \sum_{i \notin F_j} \alpha_i \geq \frac{n}{n-1} > 1 & \text{for } j = s+1, \dots, t. \end{cases}$$

It follows that $\boldsymbol{\beta} \in C_1$, where C_1 is a polyhedron in \mathbb{R}^m defined by

$$\begin{cases} \sum_{i \notin F_j} x_i \leq 1 & \text{for } j = 1, \dots, s, \\ \sum_{i \notin F_j} x_i \geq 1 & \text{for } j = s+1, \dots, t, \\ x_1 \geq 0, \dots, x_m \geq 0. \end{cases}$$

By Lemma 1.8, C_1 is a polytope in \mathbb{R}^m .

Hence $|\boldsymbol{\beta}| \leq \delta(C_1)$, and hence $|\boldsymbol{\alpha}'| = (n-1)|\boldsymbol{\beta}| \leq \delta(C_1)(n-1)$. Observe that $\alpha_j < 0$ for all $j \in G_\alpha = \{m+1, \dots, r\}$, so

$$(14) \quad a_i(R/I^{(n)}) = |\boldsymbol{\alpha}| = |\boldsymbol{\alpha}'| + (\alpha_{m+1} + \dots + \alpha_r) \leq |\boldsymbol{\alpha}'| \leq \delta(C_1)(n-1).$$

On the other hand, by Lemmas 1.9 and 2.1 we deduce that

$$\delta(C_1) \leq \delta(J) \leq \delta(I).$$

Together with Formula (14), it yields $a_i(R/I^{(n)}) \leq \delta(I)(n-1)$, and the proof of the theorem is complete. \square

We are now in position to prove the main result of the paper.

Theorem 2.3. *Let Δ be a simplicial complex. Then,*

$$\text{reg}(I_\Delta^{(n)}) \leq \delta(I_\Delta)(n-1) + b, \quad \text{for all } n \geq 1,$$

where $b = \max\{\text{reg}(I_\Gamma) \mid \Gamma \text{ is a subcomplex of } \Delta \text{ with } \mathcal{F}(\Gamma) \subseteq \mathcal{F}(\Delta)\}$.

Proof. For simplicity, we put $I = I_\Delta$. Let $i \in \{0, \dots, \dim(R/I)\}$ and $\alpha \in \mathbb{Z}^r$ such that

$$H_m^i(R/I^{(n)})_\alpha \neq 0, \text{ and } \text{reg}(R/I^{(n)}) = a_i(R/I^{(n)}) + i = |\alpha| + i.$$

By Lemma 1.3, we have

$$(15) \quad \dim_K \tilde{H}_{i-|G_\alpha|-1}(\Delta_\alpha(I^{(n)}); K) = \dim_K H_m^i(R/I^{(n)})_\alpha \neq 0.$$

In particular, $\Delta_\alpha(I^{(n)})$ is not acyclic.

If $G_\alpha = [r]$, then $\Delta_\alpha(I^{(n)})$ is either $\{\emptyset\}$ or a void complex. Because it is not acyclic, $\Delta_\alpha(I^{(n)}) = \{\emptyset\}$. By Formula (15) we deduce that $i = |G_\alpha| = r$, and hence $\dim R/I = r$. It means that $I = 0$, so $I^{(n)} = 0$ as well. Therefore, $\text{reg}(I^{(n)}) = -\infty$, and the theorem holds in this case.

We may assume that $G_\alpha = \{m+1, \dots, r\}$ for some $1 \leq m \leq r$. Let $S = K[x_1, \dots, x_m]$ and $J = IR_{G_\alpha} \cap S$.

Let $\alpha' = (\alpha_1, \dots, \alpha_m) \in \mathbb{N}^m$. By using Formula (2), we have

$$(16) \quad \Delta_{\alpha'}(J^{(n)}) = \Delta_\alpha(I^{(n)}).$$

Together with (15), it gives $\tilde{H}_{i-|G_\alpha|-1}(\Delta_{\alpha'}(J^{(n)}); K) \neq 0$. By Lemma 1.3 we get

$$H_n^{i-|G_\alpha|}(S/J^{(n)})_{\alpha'} \neq 0,$$

where $\mathfrak{n} = (x_1, \dots, x_m)$ is the homogeneous maximal ideal of S . In particular,

$$|\alpha'| \leq a_{i-|G_\alpha|}(S/J^{(n)}).$$

Together with Lemma 2.1 and Theorem 2.2, it yields

$$|\alpha'| \leq \delta(J)(n-1) \leq \delta(I)(n-1).$$

Therefore,

$$\text{reg}(I^{(n)}) = |\alpha| + i = |\alpha'| + \sum_{j=m+1}^r \alpha_j + i \leq |\alpha'| + i - |G_\alpha| \leq \delta(I)(n-1) + i - |G_\alpha|.$$

It remains to prove that $i - |G_\alpha| \leq b$. By Lemma 1.5, we have

$$\Delta_{\alpha'}(J^{(n)}) = \Delta_\alpha(I^{(n)}) = \left\{ F \in \mathcal{F}(\text{lk}_\Delta(G_\alpha)) \mid \sum_{j \notin F \cup G_\alpha} \alpha_j \leq n-1 \right\}.$$

It follows that there is a simplicial complex Γ with $\mathcal{F}(\Gamma) \subseteq \mathcal{F}(\Delta)$ such that

$$\Delta_{\alpha'}(J^{(n)}) = \text{lk}_\Gamma(G_\alpha).$$

Since $\tilde{H}_{i-|G_\alpha|-1}(\text{lk}_\Gamma(G_\alpha); K) \neq 0$, by Lemma 1.1 we have $i - |G_\alpha| \leq \text{reg}(I_\Gamma) \leq b$, and then proof of the theorem is complete. \square

As a direct consequence of Theorem 2.3, we have a simple bound:

Corollary 2.4. *Let I be a square-free monomial ideal. Then,*

$$\operatorname{reg}(I^{(n)}) \leq \delta(I)(n-1) + \dim(R/I) + 1, \quad \text{for all } n \geq 1.$$

Proof. Let Δ be the simplicial complex corresponding to the square-free ideal I . For every subcomplex Γ of Δ we have $\dim \Gamma \leq \dim \Delta$. It follows from Lemma 1.1 that

$$\operatorname{reg}(I_\Gamma) \leq \dim(R/I_\Gamma) + 1 \leq \dim(R/I_\Delta) + 1.$$

Therefore, $b = \max\{\operatorname{reg}(I_\Gamma) \mid \mathcal{F}(\Gamma) \subseteq \mathcal{F}(\Delta)\} \leq \dim(R/I_\Delta) + 1$. Now the corollary follows from Theorem 2.3. \square

The following example shows that the bound in Corollary 2.4 is sharp and so is in Theorem 2.3. Recall that a simplicial complex Δ is called a *matroid complex* if for every subset σ of $V(\Delta)$, the simplicial complex $\Delta[\sigma]$ is pure (see e.g. [20, Chapter 3]). Here, $\Delta[\sigma]$ is the restriction of Δ to σ and defined by $\Delta[\sigma] = \{\tau \mid \tau \in \Delta \text{ and } \tau \subseteq \sigma\}$.

Example 2.5. Let Δ be a matroid complex. Assume that Δ is not a cone. Let $I = I_\Delta$ and $d = \dim(R/I)$. By [18, Theorem 4.5], for all $n \geq 1$ we deduce that:

- (1) $\delta(I) = d(I)$.
- (2) $a_d(R/I^{(n)}) = \delta(I)(n-1)$.
- (3) $\operatorname{reg}(I^{(n)}) = \delta(I)(n-1) + d + 1$.

Theorem 2.3 states for a square-free monomial ideal arising from a hypergraph as follows.

Theorem 2.6. *Let \mathcal{H} be a hypergraph. Then, for all $n \geq 1$, we have*

$$\operatorname{reg}(I(\mathcal{H})^{(n)}) \leq \delta(I(\mathcal{H}))(n-1) + b,$$

where $b = \max\{\operatorname{pd}(R/I(\mathcal{H}')) \mid \mathcal{H}' \text{ is a subhypergraph of } \mathcal{H}^* \text{ with } E(\mathcal{H}') \subseteq E(\mathcal{H}^*)\}$.

Proof. Let Δ be the corresponding simplicial complex of the square-free monomial ideal $I(\mathcal{H})$. Assume that $\mathcal{F}(\Delta) = \{F_1, \dots, F_p\}$. Since

$$I(\mathcal{H}) = \bigcap_{j=1}^p (x_i \mid i \notin F_j),$$

so that $E(\mathcal{H}^*) = \{C_1, \dots, C_p\}$, where $C_j = [r] \setminus F_j$ for all $j = 1, \dots, p$.

Let Γ be a subcomplex of Δ with $\mathcal{F}(\Gamma) \subseteq \mathcal{F}(\Delta)$. We may assume that $\mathcal{F}(\Gamma) = \{F_1, \dots, F_k\}$ for $1 \leq k \leq p$. Then, we have $I_\Gamma^* = I(\mathcal{H}')$ where \mathcal{H}' is the subhypergraph of \mathcal{H}^* with $E(\mathcal{H}') = \{C_1, \dots, C_k\}$.

By Lemma 1.2 we have $\operatorname{reg}(I_\Gamma) = \operatorname{pd}(R/I_\Gamma^*) = \operatorname{pd}(R/I(\mathcal{H}'))$, and therefore the theorem follows from Theorem 2.3. \square

The next theorem is the second main result of the paper. It bounds the regularity of symbolic powers of a square-free monomial ideal via the combinatorial properties of the associated hypergraph.

Theorem 2.7. *Let \mathcal{H} be a simple hypergraph. Then,*

$$\operatorname{reg}(I(\mathcal{H})^{(n)}) \leq \delta(I(\mathcal{H}))(n-1) + |V(\mathcal{H})| - \epsilon(\mathcal{H}^*), \text{ for all } n \geq 1.$$

Proof. By Theorem 2.6, it suffices to show that

$$\operatorname{pd}(R/I(\mathcal{G})) \leq |V(\mathcal{H})| - \epsilon(\mathcal{H}^*)$$

for every hypergraph \mathcal{G} with $E(\mathcal{G}) \subseteq E(\mathcal{H}^*)$. By Lemma 1.6, it suffices to prove that

$$|V(\mathcal{G})| - \epsilon(\mathcal{G}) \leq |V(\mathcal{H}^*)| - \epsilon(\mathcal{H}^*).$$

In order to prove this inequality, without loss of generality we may assume that \mathcal{H}^* has no both trivial edges and isolated vertices.

Let S be an edgewise-dominant set of \mathcal{G} such that $|S| = \epsilon(\mathcal{G})$. For each vertex $v \in V(\mathcal{H}^*) \setminus V(\mathcal{G})$, we take an edge of \mathcal{H}^* containing v , and denote this edge by $F(v)$. Then,

$$S' = S \cup \{F(v) \mid v \in V(\mathcal{H}^*) \setminus V(\mathcal{G})\}$$

is an edgewise-dominant set of \mathcal{H}^* . It follows that

$$\epsilon(\mathcal{H}^*) \leq |S'| \leq |S| + |V(\mathcal{H}^*) \setminus V(\mathcal{G})| = |S| + |V(\mathcal{H}^*)| - |V(\mathcal{G})|,$$

and therefore $|V(\mathcal{G})| - \epsilon(\mathcal{G}) \leq |V(\mathcal{H}^*)| - \epsilon(\mathcal{H}^*)$, as required. \square

The following result gives an upper bound for the regularity of symbolic powers of an edge ideal a graph.

Corollary 2.8. *Let G be a graph. Then,*

$$\operatorname{reg}(I(G)^{(n)}) \leq 2n + \dim(R/I(G)) - 1, \text{ for all } n \geq 1.$$

Proof. By [6, Example 4.4], we have $\delta(I(G)) = 2$, so the corollary follows from Corollary 2.4. \square

Continuing with graphs, we will write down the bounds in Theorem 2.3 and 2.7 for a cover ideal of a graph.

Example 2.9. Let G be a graph. First we note that the formula for computing $\delta(J(G))$ is quite complicated, due to [6, Theorem 4.6], $\delta(J(G))$ is given by

$$\frac{r}{2} + \max \left\{ \frac{|N(S)| - |S|}{2} \mid S \in \Delta(I(G)) \text{ and } G \setminus N[S] \text{ has no bipartite component} \right\},$$

where $N[S] = S \cup \{v \in V(G) \mid v \text{ is a neighbor of some vertex in } S\}$. The number $\delta(J(G))$ may be not an integer and even bigger than $\text{reg}(J(G))$ (see [6, Lemma 5.14 and Theorem 5.15]).

Now since $J(G)^* = I(G)$, from Theorem 2.3, we obtain

$$\text{reg}(J(G)^{(n)}) \leq \delta(J(G))(n-1) + b, \text{ for all } n \geq 1,$$

where $b = \max\{\text{pd}(R/I(H)) \mid H \text{ is a subgraph of } G\}$.

The weaker bound obtained from Theorem 2.7 is

$$\text{reg}(J(G)^{(n)}) \leq \delta(J(G))(n-1) + |V(G)| - \epsilon(G), \text{ for all } n \geq 1.$$

We conclude the paper with a remark on lower bounds.

Remark 2.10. Let I be a square-free monomial ideal. By [6, Lemma 4.2(ii)] we deduce that $d(I)n \leq d(I^{(n)})$, and therefore

$$\text{reg}(I^{(n)}) \geq d(I)n, \text{ for all } n \geq 1.$$

In general, $d(I) < \delta(I)$ (see e.g. [6, Lemma 5.14]), so that the bound is not optimal.

On the other hand, by Lemma 1.7, there is a number b such that

$$\text{reg}(I^{(n)}) \geq \delta(I)n + b, \text{ for all } n \geq 1.$$

The natural question is to find a good bound for b .

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