

EXTENDING HOLOMORPHIC MAPS THROUGH PLURI-POLAR SETS IN HIGH DIMENSION

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Abstract. In this paper we prove that if a complex space X has the strictly holomorphic 1-extension property through polar sets, then X also has the strictly holomorphic n -extension property through pluri-polar sets for all $n \geq 2$. Moreover, some results of Suzuki and Järvi are deduced from the above-mentioned theorem.

Introduction

Let S be a pluri-polar set in a complex manifold Z and X be a complex space. If $f : Z \setminus S \rightarrow X$ is a holomorphic map, the question as to whether one can find a holomorphic extension $f : Z \rightarrow X$ of the map f has been studied by various authors (see [2], [7], [8], [9]). For example, if X is a Siegel domain of type 2 in C^n , then every map f has a holomorphic extension to Z (see Sibony [7]) or if X is a convex domain in C^n , a holomorphic extension through hypersurfaces of every map f exists iff X is hyperbolic (see P. K. Ban [1]).

In the case X is a Riemann surface and $Z \setminus S$ is the punctured disc $0 < |z| < 1$ in C , the problem was investigated by Royden [4]. Recently, Järvi [2] generalized Royden's results for the case of compact subsets of capacity zero in a domain $Z \subset C$. The above-mentioned extension problem for high dimension, i.e. Z is an arbitrary complex manifold, was also investigated by Suzuki [8]. However his proof is not correct.

The aim of the present note is to study the above-mentioned problem for high dimension. In particular, we will give a correct proof of Suzuki's result. At the same time, a generalization of Järvi's results to the case of an arbitrary complex manifold will be obtained.

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1. Some definitions and main results

DEFINITION. Let X be a complex space. We say that X has the holomorphic n -extension property through pluri-polar sets if for every complex manifold Z of dimension n , the restriction map $R : H(Z, X) \rightarrow H(Z \setminus S, X)$ is surjective for every pluri-polar closed set S in Z .

Here $H(Z, X)$ denotes the space of holomorphic maps from Z into X equipped with the compact-open topology. If the restriction map R is a homeomorphism, then we say that X has the strictly holomorphic n -extension property.

Shortly, the homeomorphism R is denoted by $R : H(Z, X) \cong H(Z \setminus S, X)$.

In this section we prove the following two theorems.

THEOREM 1.1. *Let X be a complex space having the strictly holomorphic 1-extension property through polar set. Then X also has the strictly holomorphic n -extension property through pluri-polar sets for $n \geq 2$.*

THEOREM 1.2. *Every Siegel domain D of type 2 in a Banach space B has the strictly holomorphic n -extension property through pluri-polar sets for all $n \geq 1$.*

Here, by a Siegel domain of type 2 in B we mean a domain D in B of the form

$$D = \{(u, v) \in B = (A \oplus iA) \times W : \operatorname{Im} u - F(w, w) \in V\},$$

where A is a real Banach space, $F : W \times W \rightarrow A \oplus iA$ is a continuous V -Hermitian map and V is an open convex cone in A .

2. Proof of Theorem 1.1

First observe that X satisfies the weak disc condition. This means that if $\{f_n\} \subset H(\Delta, X)$, where Δ is the open unit disc in \mathbf{C} , converges to f in $H(\Delta^*, X)$, $\Delta^* = \Delta \setminus \{0\}$, then f can be extended holomorphically to Δ and $f_n \rightarrow f$ in $H(\Delta, X)$. By [6], X has the Hartogs holomorphic extension property.

Given $n \geq 1$. Since the problem is local, without loss of generality we may assume that $Z = U \times W$, where U is an open polydisc in \mathbf{C}^{n-1} and W is an open set in \mathbf{C} .

For every pluri-polar set S in Z , we put

$$S' = \{z \in U : z \times W \subset S\},$$

and

$$S'' = \{w \in W : U \times w \subset S\}.$$

We claim that S' and S'' are pluri-polar in U and W , respectively. It suffices to check this for S' because the check for S'' is similar.

Given $z_0 \in S'$. Take $w_0 \in W$ such that $(z_0, w_0) \notin S$. Since S is pluri-polar we can find a neighbourhood $U_0 \times W_0$ of (z_0, w_0) in $U \times W$ and a plurisubharmonic function φ on $U_0 \times W_0$ such that $\varphi|_{S \cap (U_0 \times W_0)} = -\infty$ and $\varphi(z_0, w_0) \neq -\infty$. If we put $\alpha(z) = \varphi(z, w_0)$ for $z \in U_0$, then α is plurisubharmonic on U_0 such that $\alpha(z) = \varphi(z, w_0) = -\infty$ for every $z \in U_0 \cap S'$ and $\alpha(z_0) = \varphi(z_0, w_0) \neq -\infty$.

We put

$$S^w = \{z \in U : (z, w) \in S\} \text{ for each } w \in W$$

and

$$S_z = \{w \in W : (z, w) \in S\} \text{ for each } z \in U.$$

Then S^w is pluri-polar for $w \notin S''$, and, similarly, S_z is pluri-polar for $z \notin S'$. Indeed, reasoning as above, it suffices to check this for S^w , where $w \notin S''$. From the relation $w \notin S''$ there exists $a \in U$ such that $(a, w) \notin S$. By a theorem of Josefson [3] we can find a plurisubharmonic function φ on $U \times W$ such that $\varphi|_S = -\infty$ and $\varphi(a, w) \neq -\infty$. Define a plurisubharmonic function α on U by $\alpha(z) = \varphi(z, w)$ for $z \in U$. It is obvious that $\alpha \neq -\infty$ and $\alpha|_{S^w} = -\infty$.

Now consider a sequence $\{f_k\}$ of holomorphic maps from $U \times W \setminus S$ into X which converges to f in $H(U \times W \setminus S, X)$. For each $w \notin S''$ and each $k \geq 1$ consider the holomorphic map

$$f_k^w : U \setminus S^w \rightarrow X$$

given by

$$z \mapsto f_k^w(z) = f_k(z, w).$$

By the inductive hypothesis, $f_k^w \rightarrow f^w$ in $H(U, X)$. Similarly, for each $z \notin S'$, the sequence of holomorphic maps $\{f_{k,z}\} \subset H(W \setminus S'', X)$, given by $f_{k,z}(w) = f_k(z, w)$, converges to f_z in $H(W, X)$. Thus, we can define the maps

$$f_1 : U \setminus S' \times W \rightarrow X \text{ by } f_1(z, w) = f_z(w)$$

and

$$f_2 : U \times W \setminus S'' \rightarrow X \text{ by } f_2(z, w) = f^w(z).$$

We shall show that f_1 and f_2 are holomorphic. By Shiffman [5] and by the holomorphic extendability of X , it suffices to prove that f_1 (reps. f_2) is holomorphic in $z \in U \setminus S'$ (resp. in $w \in W \setminus S''$). We will prove this statement only for f_1 because the proof for f_2 is analogous. Fix $w \in W$. Let $\{z_p\} \subset U \setminus S', \{z_p\} \rightarrow z \in U \setminus S'$. Since S is closed, it follows that

$$P = \left(\bigcup_{p=1}^{\infty} S_{z_p} \right) \cup S_z$$

is a pluri-polar closed set in W . On the other hand, inductive hypothesis yields

$$H(W, X) \cong H(W \setminus P, X).$$

Since $f_{z_p} \rightarrow f_z$ in $H(W \setminus P, X)$, we see that $f_{z_p} \rightarrow f_z$ in $H(W, X)$. Hence

$$f_1(z_p, w_p) = f_{z_p}(w_p) \rightarrow f_z(w) = f_1(z, w)$$

for every $\{w_p\} \rightarrow w$ in W . Thus f_1 is continuous on $U \setminus S' \times W$. Similarly, f_2 is continuous on $U \times W \setminus S''$. Since $U \times W \setminus S \subset (U \setminus S') \times (W \setminus S'')$ and $U \times W \setminus S$ is dense in $U \times W$, we have

$$f_1|_{(U \setminus S') \times (W \setminus S'')} = f_2|_{(U \setminus S') \times (W \setminus S'')}.*$$

Moreover $f_z(w) = f_1(z, w) = f_2(z, w) = f^w(z)$ for $z \in U \setminus S'$ and $w \in W \setminus S''$. This means f_1 is separately holomorphic on $(U \setminus S') \times (W \setminus S'')$. By Shiffman [5] f_1 is holomorphic on $(U \setminus S') \times (W \setminus S'')$. Similarly, f_2 is also holomorphic on $(U \setminus S') \times (W \setminus S'')$.

By the continuity of f_1 and f_2 on $U \setminus S' \times W$ and $U \times W \setminus S''$, respectively, it follows that f_1 and f_2 are separately holomorphic on $U \setminus S' \times W$ and $U \times W \setminus S''$ respectively. Thus from (*) we can define a function \bar{f} on $(U \setminus S' \times W) \cup (U \times W \setminus S'')$ by

$$\bar{f}|_{U \setminus S' \times W} = f_1 \text{ and } \bar{f}|_{U \times W \setminus S''} = f_2$$

which is separately holomorphic. Again by Shiffman [5] \bar{f} is holomorphic. Since by [6].

$$\wedge [(U \setminus S' \times W) \cup (U \times W \setminus S'')] = U \times W,$$

we see that \bar{f} is extended to a holomorphic map \hat{f} from $U \times W$ into X .

It remains to show that $\hat{f}_k \rightarrow \hat{f}$ in $H(U \times W, X)$. First we shall see that

$\hat{f}_k|_{U \setminus S'} \rightarrow \hat{f}|_{U \setminus S'}$ in $H(W, X)$. Let $\{z_k\} \subset U \setminus S', \{w_k\} \subset W$ with $z_k \rightarrow z \in U \setminus S', \{w_k\} \rightarrow w \in W$.

As before put

$$P = \left\{ \bigcup_{k=1}^{\infty} S_{z_k} \right\} \cup S_z.$$

Since $f_{k,z_k} \rightarrow f_z$ in $H(W \setminus P, X)$, we have $\hat{f}_{k,z_k} \rightarrow \hat{f}_k$ in $H(W, X)$. Hence $\hat{f}_k \rightarrow \hat{f}$ in $H(U \setminus S' \times W, X)$ and $\hat{f}_k \rightarrow \hat{f}$ in $H(U \times W, X)$.

The proof of theorem 1.1 is complete.

3. Proof of Theorem 1.2

First we will show that D is convex in B . Indeed, for every $(u_1, w_1), (u_2, w_2) \in D$ and for every $\theta \in [0, 1]$ we have

$$\begin{aligned} & Im[\theta u_1 + (1 - \theta)u_2] - F(\theta w_1 + (1 - \theta)w_2, \theta w_1 + (1 - \theta)w_2) \\ &= \theta [Im u_1 - F(w_1, w_1)] + (1 - \theta) [Im u_2 - F(w_2, w_2)] + \\ & \quad \theta(1 - \theta)F(w_1 - w_2, w_1 - w_2) \in V. \end{aligned}$$

Hence $(\theta u_1 + (1 - \theta)u_2, \theta w_1 + (1 - \theta)w_2) \in D$, i.e. D is convex in B .

Write

$$V = \{x \in A : x_{\alpha}^*(x) > 0, \alpha \in I\}.$$

Since V is a cone, we have

$$\bigcap_{\alpha \in I} Ker x_{\alpha}^* = \{0\}.$$

Let $(u_1, w_1), (u_2, w_2)$ be two points of D such that $u_1 \neq u_2$. Without loss of generality, we may assume that $Re u_1 \neq Re u_2$. Choose $\alpha \in I$ such that $x_{\alpha}^*(Re u_1) \neq x_{\alpha}^*(Re u_2)$. Let $z_{\alpha}^* \in (A \oplus iA)^*$ be given by $z_{\alpha}^*(x + iy) = x_{\alpha}^*(x) + ix_{\alpha}^*(y)$. Then

$$f(u, w) = \frac{z_{\alpha}^*(u)}{z_{\alpha}^*(u) + 1}, (u, w) \in D,$$

is a bounded holomorphic function on D with $f(u_1, w_1) \neq f(u_2, w_2)$.

Assume that there exists a non-constant complex line L in D . By We may assume that L has the form $(u_0, \lambda w_0), \lambda \in \mathbb{C}$. Since $\bigcap_{\alpha \in I} Ker z_{\alpha}^* = \{0\}$ and $(i(Im u_0 - k^2 F(w_0, w_0)), 0) \in D$ for each, $k \geq 1$ we can find $z_{\alpha_k}^*$ such that $|z_{\alpha_k}^*(y_k)| \rightarrow \infty$, where $y_k = i(Im u_0 - k^2 F(w_0, w_0))$. For each $k \geq 1$, consider

the bounded holomorphic function f_k on D defined by

$$f_k(u, w) = \frac{z_{\alpha_k}^*(u)}{z_{\alpha_k}^*(u) + i}$$

Put

$$T_k(u, w) = (u - \operatorname{Re} u_0 - 2iF(w, kw_0) + ik^2 F(w_0, w_0), w - kw_0)$$

$$z_k = (i \operatorname{Im} \frac{u_0}{2} - \operatorname{Re} \frac{u_0}{2}, \frac{kw_0}{2}).$$

Since T_k is a biholomorphism from D onto D , we can define $g_k = f_k \circ T_k$ for $k \geq 1$. By the inequality

$$\sup \left| \frac{z_{\alpha_k}^*(z_k)}{z_{\alpha_k}^*(z_k) + i} \right| < 1$$

there exists a sequence $\{\sigma_k\}$ of automorphisms of Δ such that

$$\sigma_k \left(\frac{z_{\alpha_k}^*(z_k)}{z_{\alpha_k}^*(z_k) + i} \right) = 0, \text{ for } k \geq 1$$

and

$$\left| \sigma_k \left(\frac{z_{\alpha_k}^*(y_k)}{z_{\alpha_k}^*(y_k) + 1} \right) \right| \rightarrow 1 \text{ as } k \rightarrow \infty.$$

Fix $q \in D$. Since $T_k(\frac{u_0}{2}, \frac{kw_0}{2}) = z_k$ for $k \geq 1$ and by the tautness of Δ , it follows that

$$\sup_{k \geq 1} |\sigma_k \circ f_k \circ T_k(q)| < 1.$$

Again there exists a sequence $\{\beta_k\}$ of automorphisms of Δ such that

$$\beta_k \sigma_k g_k(q) = 0 \text{ for } k \geq 1.$$

and

$$|\beta_k \sigma_k g_k(u_0, kw_0)| \rightarrow 1 \text{ as } k \rightarrow \infty.$$

This is impossible. Thus, D is a convex domain containing no complex lines.

Now we show that D has the strictly 1-extension property. Given $\{f_k\} \subset H(\Delta \setminus S, D)$ with $f_k \rightarrow f$ in $H(\Delta \setminus S, D)$. Let $z_0 \in S$. Since S is polar, $\dim S = 0$ and hence there exists a neighbourhood U_0 of z_0 in S such that $\partial U_0 = \emptyset$. Let U be a neighbourhood of z_0 in Z such that $U \cap S = U_0$. Take a C^∞ -function φ in a neighbourhood of \bar{U} such that $0 \leq \varphi \leq 1, \varphi|_{S \cap U} = 0$ and $\varphi = 1$ in a neighbourhood of ∂U .

By Sard theorem, there exists $0 < r < 1$ such that $\varphi^{-1}(r)$ is a C^∞ -curve. Put

$$W = \{z \in U : \varphi(z) < r\}.$$

For each $k \geq 1$ consider the holomorphic function g_k on W given by

$$g_k(z) = \frac{1}{2\pi i} \int_{\partial W} \frac{f_k(t)}{t-z} dt.$$

Since $x_\alpha^* g_k$ is holomorphic on W for all $\alpha \in I$, we have $x_\alpha^* g_k = x_\alpha^* f_k|_W$ for $\alpha \in I$ and $k \geq 1$, where $\{x_\alpha^*\} \subset B^*$ are chosen such that $D = \cap \{Re x_\alpha^* < \epsilon_\alpha\}$. We may assume that $0 \in D$, and hence $\epsilon_\alpha > 0$ for all $\alpha \in I$. We have

$$\bigcap_{\alpha} ker x_\alpha^* = \{0\},$$

and hence

$$g_k|_{W \setminus S} = f_k|_{W \setminus S} \text{ for all } k \geq 1.$$

Thus f_k is extended holomorphically to $z_0 \in S$. Since z_0 is arbitrary, f_k can be extended to a holomorphic function \hat{f}_k on Z . Moreover $\hat{f}_k \rightarrow \hat{f}$ in $H(Z, B)$. We will see that $\hat{f}(\Delta) \subset D$. Indeed, for the above z_0 and W we have $f(\partial W) \subset D$, and hence $Re x_\alpha^* f(\partial W) < \epsilon_\alpha$ for $\alpha \in I$. By the maximum principle for harmonic functions, it follows that

$$Re x_\alpha^* f(z_0) < \epsilon_\alpha \text{ for } \alpha \in I.$$

Hence $f(z_0) \in D$. Thus, $f(W) \subset D$.

4. Some applications

In this section we will combine Theorem 1.1 with the result of Järvi [2] and Suzuki [8] in order to extend their result for high dimension.

THEOREM 4.1. (cf. Järvi [2]) Let X be a compact hyperbolic Riemann surface. Then X has the strictly holomorphic n -extension property through pluri-polar sets for every $n \geq 1$.

PROOF. By Järvi [2] the restriction map $R : H(Z, X) \rightarrow H(Z \setminus S, X)$ is surjective for every complex curve Z and every polar set S in Z . By the complete hyperbolicity of X , it follows that $H(Z \setminus S, X) \cong H(Z, X)$. Theorem 1.1 yields $H(Z \setminus S, X) \cong H(Z, X)$ for every complex manifold Z and every pluri-polar set S in Z .

THEOREM 4.2. (cf. Suzuki [8]) Let M be a compact complex manifold such that

- (i) M has a universal cover Ω which is biholomorphic to a bounded domain in \mathbb{C}^n .
- (ii) Every biholomorphism of the universal cover $\Omega \rightarrow M$ can be extended holomorphically to a neighbourhood of $\bar{\Omega}$.

Then M has the strictly holomorphic n -extension property through pluripolar sets for all $n \geq 1$.

PROOF. First note that M is hyperbolic. By the result of Suzuki [8] the theorem holds for $n = 1$. Theorem 1.1 implies that Theorem 4.2 holds for all $n \geq 1$.

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